Categorical Homotopy Type Theory

André Joyal

UQÀM

MIT Topology Seminar, March 17, 2014

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- ► Russell: Mathematical logic based on the theory of types (1908)
- ▶ **Church**: A formulation of the simple theory of types (1940)
- ► Lawvere: Equality in hyperdoctrines and comprehension schema as an adjoint functor (1968)
- ▶ Martin-Löf: Intuitionistic theory of types (1971, 1975, 1984)
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- ► **Awodey, Warren**: Homotopy theoretic models of identity types (2006~2007)
- **▶ Voevodsky**: *Notes on type systems* (2006~2009)

Suggested readings

Recent work in homotopy type theory
Slides of a talk by Steve Awodey at the AMS meeting January 2014

Notes on homotopy λ -calculus Vladimir Voevodsky

Homotopy Type Theory
A book by the participants of the Univalent Foundation Program held at the IAS in 2012-13

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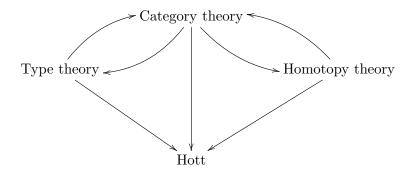
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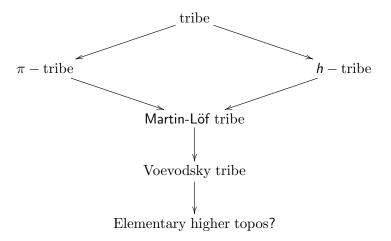
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Category theory as a bridge

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Overview of the talk



Quadrable objects and maps

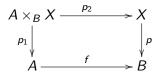
An object X of a category \mathcal{C} is **quadrable** if the cartesian product $A \times X$ exists for every object $A \in \mathcal{C}$.

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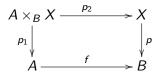
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The projection p_1 is called the **base change** of $p: X \to B$ along $f: A \to B$.

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A map in \mathcal{F} is called a **fibration**.

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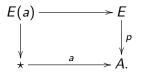
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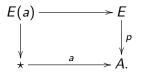
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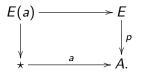


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A tribe is a collection of families closed under certain operations.

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General contexts

Type declarations can be iterated:

$$A: \mathit{Type}$$

$$x: A \vdash B(x): \mathit{Type}$$

$$x: A, y: B(x) \vdash C(x, y): \mathit{Type}$$

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$$E \downarrow A \longleftarrow B \longleftarrow C$$

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 $\Gamma = (x : A, y : B(x), z : C(x, y))$ is a general context.

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Composition of maps is obtained by substituting:

$$\frac{x:A\vdash f(x):B,\qquad y:B\vdash g(y):C}{x:A\vdash g(f(x)):C}$$

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Remark: The category of tribes is a 2-category if a 2-cell is a natural transformation.

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In type theory, it is expressed by the following deduction rule:

$$\frac{y:B\vdash E(y):\mathit{Type}}{x:A\vdash E(f(x)):\mathit{Type}}.$$

The base change functor $i_A : \mathcal{C} \to \mathcal{C}(A)$ along the map $A \to \star$ is a homomorphism of tribes.

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In type theory, this is called a **context extension**.

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A term $t: \sum_{x:A} E(x)$ is a pair t = (a, u), where a: A and u: E(a).

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(Gambino and Garner) The syntaxic category of type theory is a tribe, where a fibration is a map isomorphic to a display map

If $f:A\to B$ is a fibration in a tribe $\mathcal C$, then the *push-forward* functor

$$f_!:\mathcal{C}(A)\to\mathcal{C}(B)$$

defined by putting $f_1(E, p) = (E, fp)$.

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Formally, we have

$$f_!(E)(y) = \sum_{f(x)=y} E(x).$$

for a term y:B.

Polynomial rings

Recall that if R is a commutative ring, then the polynomial ring R[x] is obtained by adjoining freely a new element x to R.

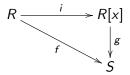
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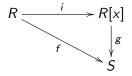
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The element $x \in R[x]$ is **generic**.

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By construction, $i(A) = (A \times A, p_2)$ and x_A is the diagonal $\delta_A : A \to A \times A$.

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The diagonal δ_A : i(A) is **generic**.

Let A be a quadrable object in a category $\mathcal{C}.$

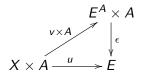
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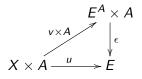
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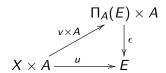
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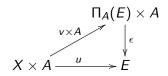
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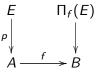


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Products along a map

Let $f: A \to B$ be a quadrable map in a category C.

The **product** $\Pi_f(E)$ of $E = (E, p) \in \mathcal{C}/A$ along $f : A \to B$ is the space of sections of the map $(E, fp) \to (A, f)$ in the category \mathcal{C}/B .



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$$\begin{bmatrix}
E & \Pi_f(E) \\
P & \downarrow \\
A & \xrightarrow{f} B
\end{bmatrix}$$

For every y : B we have

$$\Pi_f(E)(y) = \prod_{f(x)=y} E(x)$$

Definition

We say that a tribe $\mathcal C$ is $\pi\text{-}\mathbf{closed}$, and that it is a $\pi\text{-}\mathbf{tribe}$, if every fibration $E \to A$ has a product along any fibration $f: A \to B$ and the structure map $\Pi_f(E) \to B$ is a fibration,

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If C is a π -tribe, then so is the tribe C(A) for every object $A \in C$.

Examples of π -tribes

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- A cartesian closed category, where a fibration is a projection;
- A locally cartesian category is a Π-tribe in which every map is a fibration;
- The category of small groupoids **Grpd**, where a fibration is an iso-fibration (Hofmann, Streicher);
- ► The category of Kan complexes Kan, where a fibrations is a Kan fibration (Voevodsky, Streicher);

Π-introduction rule

In a Π -tribe, we have the following Π -introduction rule:

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And a rule for the formation of λ -terms:

$$\frac{x:A\vdash t(x):E(x)}{\vdash (\lambda x)t(x):\prod_{x:A}E(x)}$$

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This means that every commutative square

$$\begin{array}{c|c}
A & \xrightarrow{a} & X \\
\downarrow u & & \downarrow f \\
B & \xrightarrow{b} & Y
\end{array}$$

has a diagonal filler $d: B \rightarrow X$ (du = a and fd = b).

Homotopical tribes

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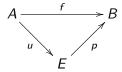
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• every map $f: A \rightarrow B$ admits a factorization f = pu with u an anodyne map and p a fibration;

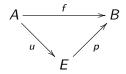


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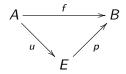
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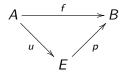
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If $\mathcal C$ is a h-tribe, then so is the tribe $\mathcal C(A)$ for every object $A \in \mathcal C$.

► The category of groupoids **Grpd**, where a functor is anodyne if it is a monic equivalence (Hofmann, Streicher);

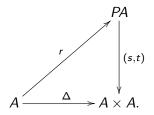
- ► The category of groupoids **Grpd**, where a functor is anodyne if it is a monic equivalence (Hofmann, Streicher);
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- ► The syntaxic category of Martin-Löf type theory, where a fibration is a map isomorphic to a display map (Gambino-Garner).

Path object

Path object

A **path object** for an object $A \in \mathcal{C}$ is a factorisation of the diagonal $\Delta: A \to A \times A$ as an anodyne map $r: A \to PA$ followed by a fibration $(s,t): PA \to A \times A$,



In Martin-Löf type theory, there is a type constructor which associates to every type $\cal A$ a dependant type

$$x:A,y:A \vdash Id_A(x,y):Type$$

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$$x:A \vdash r(x): Id_A(x,x)$$

called the **reflexivity term**. It is a proof that x = x.

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$$\begin{array}{ccc}
A & \xrightarrow{u} & E \\
\downarrow r & & \downarrow p \\
Id_A & & & Id_A
\end{array}$$

with p a fibration, to a diagonal filler d = J(u, p)



It shows that the reflexivity term $r: A \rightarrow Id_A$ is anodyne!

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The identity type

$$Id_{A} = \sum_{(x,y):A\times A} Id_{A}(x,y)$$

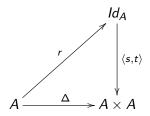
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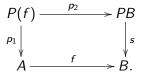
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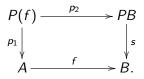
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This gives a factorization $f = pu : A \rightarrow P(f) \rightarrow B$ with $u = \langle 1_A, rf \rangle$ an anodyne map and $p = tp_2$ a fibration.

The **homotopy fiber** of a map $f: A \to B$ at a point y: B is the fiber of the fibration $p: P(f) \to B$ at the same point,

$$fib_f(y) = \sum_{x:A} Id_A(f(x), y).$$

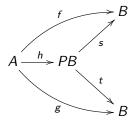
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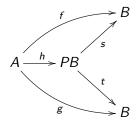
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$$x : A \vdash h(x) : Id_B(f(x), g(x)).$$

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An object X is **contractible** if the map $X \to \star$ is a homotopy equivalence.

Local homotopy categories

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A map $f:(E,p)\to (F,q)$ in \mathcal{C}/A is called a *weak equivalence* if the map $f:E\to F$ is a homotopy equivalence in \mathcal{C} .

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The **local homotopy category** $Ho(\mathcal{C}/A)$ is defined to be the category of fraction

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The inclusion $C(A) \to C/A$ induces an equivalence of categories:

$$Ho(\mathcal{C}(A)) = Ho(\mathcal{C}/A)$$

Homotopy pullback

A square



is a homotopy pullback if the canonical map $A \to B \times_D^h C$ is a homotopy equivalence, where $B \times_D^h C = (f \times g)^*(PD)$

$$B \times_{D}^{h} C \longrightarrow D$$

$$\downarrow \qquad \qquad \downarrow$$

$$B \times C \xrightarrow{f \times g} D \times D$$

A map $u: A \rightarrow B$ is homotopy monic if the square



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An object $A \in \mathcal{C}$ is a *h-proposition* if the map $A \to \star$ is homotopy monic.

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Definition

An object $A \in \mathcal{C}$ is a *h-proposition* if the map $A \to \star$ is homotopy monic.

An object A is a h-proposition if and only if the diagonal $A \to A \times A$ is a homotopy equivalence.

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Homotopy initial objects

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An object $\bot \in \mathcal{C}$ is **homotopy initial** if every fibration $p : E \to \bot$ has a section $\sigma : \bot \to E$,

$$E_{p\downarrow} \int \sigma$$

Homotopy initial objects

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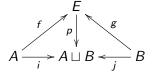
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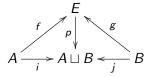
A homotopy initial object remains initial in the homotopy category Ho(C).

An object $A \sqcup B$ equipped with a pair of maps $i, j : A, B \to A \sqcup B$

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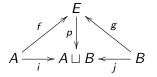


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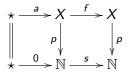
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A homotopy coproduct remains a coproduct in the homotopy category $Ho(\mathcal{C})$.

It is a homotopy initial object $(\mathbb{N}, s, 0)$ in the category of triples (X, f, a), for $X \in \mathcal{C}$, $f : X \to X$ and a : X.

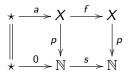
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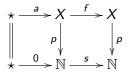
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A homotopy natural number object $(\mathbb{N}, s, 0)$ is not necessarily a natural number object in the homotopy category $Ho(\mathcal{C})$.

Definition

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We say that a tribe $C = (C, \mathcal{F})$ is a **ML-tribe** if the following conditions are satisfied

- \triangleright C is a Π -tribe and a h-tribe;
- (extensionality) the product functor $\Pi_f : \mathcal{C}(A) \to \mathcal{C}(B)$ preserves the homotopy relation for every fibration $f : A \to B$.

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If C is a ML-tribe, then so is the tribe C(A) for every $A \in C$.

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It follows that there is a map

$$\prod_{x:A} Id_B(f(x),g(x)) \to Id_{[A,B]}(f,g)$$

defined for any pair of maps $f, g : A \rightarrow B$.

Theorem

(**Hofmann and Streicher**) The category of small groupoids, where a fibration is a Grothendieck fibration.

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Theorem

(Gambino-Garner) The syntaxic category of Martin-Löf type theory, where a fibration is a map isomorphic to a display map.

Decidability

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Corollary

The syntaxic category of Martin-Löf type theory with homotopy natural numbers is decidable

Elementary topos

Let $\mathcal E$ be a category with finite limits

Recall that a monomorphism $t: 1 \to \Omega$ in $\mathcal E$ is said to be *universal* if for every monomorphism $S \to A$ there exists a unique map $f: A \to \Omega$, such that $f^{-1}(t) = S$,



The pair (Ω, t) is called a *sub-object classifier*.

Lawvere and Tierney:

Definition

An elementary topos is a locally cartesian category with a sub-object classifier Ω .

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A small fibration $q: U' \to U$ is **universal** if for every small fibration $p: E \to A$ there exists a cartesian square:



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A homotopical presheaf F is **representable** if the functor $F: Ho(\mathcal{C})^{op} \to \mathbf{Set}$ is representable.

IsContr(X)

If $E \in \mathcal{C}$, then the presheaf $F : \mathcal{C}^{op} \to \mathbf{Set}$ defined by putting

$$F(A) = egin{cases} 1, & ext{if } E_A ext{ is contractible in } \mathcal{C}(A) \ \emptyset & ext{otherwise} \end{cases}$$

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Compare with

$$(\exists x \in E) \ (\forall y \in E) \ x = y$$

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If $f:X\to Y$ is an arbitrary map, then the presheaf $F:\mathcal{C}^{op}\to \mathbf{Set}$ defined by putting

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$$IsEq(f) =_{\text{def}} \prod_{y:Y} IsCont(fib_f(y)),$$

where $fib_f(y)$ is the homotopy fiber of f at y : Y.

Eq(X, Y)

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For every object $A \in \mathcal{C}$, there is a bijection between the maps

$$A \rightarrow Eq(X, Y)$$

in $Ho(\mathcal{C})$ and the isomorphism $X_A \simeq Y_A$ in $Ho(\mathcal{C}(A))$

$Eq_A(E)$

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For every fibration $p: E \rightarrow A$ let us put

$$Eq_A(E)(x,y) = \sum_{x:A} \sum_{y:A} Eq(E(x), E(y))$$

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$$Eq_{A}(E)(x,y) = \sum_{x:A} \sum_{y:A} Eq(E(x), E(y))$$

The identity $1_{E(x)}$ is represented by a term

$$x: A \vdash u(x): Eq(E(x), E(x))$$

which defines the unit map $u: A \to Eq_A(E)$,

Univalent fibration

Voevodsky:

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In which case the fibration $Eq_A(E) \to A \times A$ is equivalent to the fibration $PA \to A \times A$.

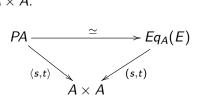
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Uncompressible fibration

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Every Kan fibration $X \to A$ is the pullback of an uncompressible fibration $X' \to A'$ along a homotopy surjection $A \to A'$.

Voevodsky tribes

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Voevodsky's conjecture : The relations $\vdash t : A$ and $\vdash s = t : A$ are decidable in V-type theory.

What is an elementary higher topos?

| Grothendieck topos | Elementary topos |
|--------------------|------------------|
| Higher topos | EH-topos? |

Let ${\mathcal E}$ be a category with terminal object \top and initial object \bot .

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Definition

A generalized model structure on $\mathcal E$ is a triple $(\mathcal C,\mathcal W,\mathcal F)$ of classes maps in $\mathcal E$ such that

- W satisfies 3-for-2;
- ▶ the pairs $(C \cap W, F)$ and $(C, W \cap F)$ are weak factorization systems;
- lacktriangle the maps in ${\mathcal F}$ are quadrable and maps in ${\mathcal C}$ is co-quadrable;

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An object A is *cofibrant* if the map $\bot \to A$ is a cofibration, an object X is *fibrant* if the map $X \to \top$ is a fibration

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A generalized model structure is **smooth** if it is excellent, π -closed and every object is cofibrant.

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Critic 3: We may need to suppose that every fibration factors as a homotopy surjection followed by a fibrant monomorphism.

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THANK YOU!